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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N1422-005

First Inventor

Clinton C. S. CHAPPLE

Title

Genes Encoding P-Coumarate 3-Hydroxylase (C3H) and Methods of Use

☒ Assignee Information: Purdue Research Foundation

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
  2. ☒ Applicant claims small entity status.
  3. ☒ Specification Total pages [80 ]  
(preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross references to Related Applications
    - Statement Regarding Fed sponsored R&D
    - Reference to sequence listing, a table or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings
    - Detailed Description
    - Claims
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 USC 113) (Total Sheets) [13]
  5. ☐ Oath or Declaration (Total Pages) [ ]
    - a. ☐ Newly executed (original or copy)
    - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper (12 pages)
  - c. ☒ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
  - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☒ Other: Certificate of Mailing by Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

Address		Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.			
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Nancy T. Morris, Reg. No. 42,017				
Signature	Nancy T. Morris			Date	August 16, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CLINTON C.S. CHAPPLE  
Serial No.: 09/  
Filed:  
Group Art Unit:  
Examiner:  
For: **Genes Encoding P-Coumarate 3-Hydroxylase  
(C3H) and Methods of Use**

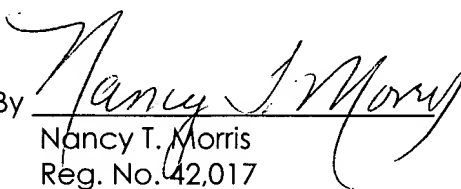
**STATEMENT PURSUANT TO 37 CFR 1.821(f)**

U.S. Patent & Trademark Office  
Box Sequence  
Washington, D. C. 20231

Dear Sir:

In the matter of the above-identified application, Applicant submits a computer readable form of the sequence listing submitted herewith. It is certified that the computer readable form is identical to the submitted paper copy.

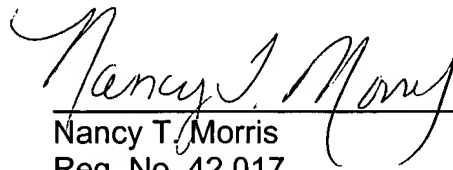
Respectfully submitted,

By   
Nancy T. Morris  
Reg. No. 42,017

Dated: August 16, 2001  
Rothwell, Figg, Ernst & Manbeck  
555 13th Street NW, Suite 701-East  
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(402) 333-1550

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail EF315633775US in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Box Patent Application, Washington, D. C. 20231 on August 16, 2001.

  
Nancy T. Morris  
Reg. No. 42,017

August 16, 2001

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